

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: RHEE, S. et al.

Serial No.: Herewith

Group Art Unit: TBD

Filed: November 14, 2001

Examiner: TBD

Title: *HANSENULA POLYMORPHA* MUTANTS AND PROCESS FOR THE  
PREPARATION OF RECOMBINANT PROTEINS USING THE SAME

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Commissioner for Patents

Box: PCT

Washington, DC 20231

PRELIMINARY AMENDMENT

Sir:

Prior to examination and prior to the calculation of the filing fee, please amend  
this application as follows:

In the claims:

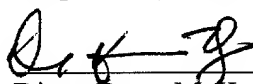
Please cancel claims 1-16 and 21-43.

REMARKS

Claims 1-16 and 21-43 have been cancelled. Claims 17, 18, 19 and 20 are in this  
application.

A prompt and favorable action on the merits is earnestly solicited. It is believed  
that no fee is required. The Commissioner is authorized to charge any deficiency or  
credit any overpayment to Deposit Account No. 13-2165.

Respectfully submitted,



Diane Dunn McKay

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Attorney for Applicant

10/11/01 15:16:50

DATE: November 14, 2001

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EXPRESS MAIL CERTIFICATE

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Date of Deposit: November 14, 2001

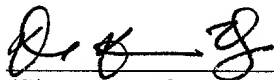
Type of Documents:

1. Acknowledgment Post Card;
2. “Express Mail” Certificate;
3. Utility Patent Application Transmittal;
4. Specification (37 pgs) Claims (5 pgs) & Abstract (1 pg);
5. 13 sheets of Formal drawings;
6. Copy of Declaration & Power of Attorney (signed);
7. Copy of Recorded Assignment;
8. Preliminary Amendment; and
9. Check in the amount of \$740.00.

I hereby certify that this paper or fee is being deposited with the United States Postal Service “Express Mail Post Office to Addressee” service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents, Washington, D.C. 20231; BOX: Patent Application.

Diane Dunn McKay

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